



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen J. Turner, Patrick M. Hogan, William E. Gibson  
Art Unit : 2122  
Examiner : Wei Y. Zhen  
Serial No. : 09/800,597  
Filed : March 6, 2001  
Title : A METHOD AND SYSTEM FOR ASSEMBLING AND UTILIZING COMPONENTS IN COMPONENT OBJECT SYSTEMS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. Under 35 USC §120, this Application relies on the earlier filing date of application serial number 08/845,583, filed April 25, 1997 (now US Patent Number 6,230,309 issued May 8, 2001). The references listed on the attached PTO-1449 were submitted to and/or cited by the Office in the prior application (08/845,583) and, therefore, are not provided in this Application.

This filing is being made with the filing of a Request for Continued Examination. No fee is required. Please note that Applicants filed a Revocation and New Power of Attorney on May 18, 2004.

Respectfully submitted,

Date: \_\_\_\_\_

May 19, 2004

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Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17646-006002	Application No. 09/800,597
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)				Applicant Stephen J. Turner, Patrick M. Hogan, William E. Gibson	
(37 C.F.R. § 1.98(b))				Filing Date March 6, 2001	Group Art Unit 2122

### U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,019,961	05/28/91	Addesso, et al.			
	AB	5,295,222	03/15/94	Wadhwa, et al.			
	AC	5,487,141	01/23/96	Cain, et al.			
	AD	5,627,979	05/06/97	Chang, et al.			
	AE	5,659,723	08/19/97	Dimitrios, et al.			
	AF	5,659,751	08/1997	Heninger			
	AG	5,673,199	09/30/97	Gentry Roy			
	AH	5,675,801	10/07/97	Lindsey Anthony			
	AI	5,758,351	05/26/98	Gibson, et al.			
	AJ	5,864,862	05/1999	Kriens, et al			
	AK	5,907,846	05/1999	Berner, et al.			
	AL	5,991,535	11/1999	Fowlow, et al.			
	AM	6,029,207	02/2000	Heninger			

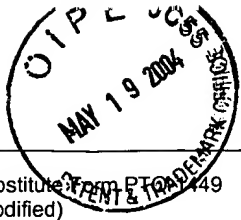
### Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AN							
	AO							
	AP							
	AQ							
	AR							

### Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AS	Kraig Brockschmidt, "Inside Ole," Second Edition, Microsoft Press, Copyright © 1995 by Kraig Brockschmidt
	AT	"CORBA Services: Common Object Server Specification," Revised Edition, 31 March 1995, OMB Document Number 95-3-31, located at <a href="http://www.omg.org/docs/1995/95-03-31.pdf">http://www.omg.org/docs/1995/95-03-31.pdf</a> , last accessed on March 8, 1999.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Form PTO-149 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17646-006002	Application No. 09/800,597
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))				Applicant Stephen J. Turner, Patrick M. Hogan, William E. Gibson	
				Filing Date March 6, 2001	
<b>Other Documents (include Author, Title, Date, and Place of Publication)</b>					
Examiner Initial	Desig. ID	Document			
	AU	Rational Rose 4.0, Rational Rose Corporation, CA, 1996			
	AV	Mittermeir, et al. "Software bases and software archieves", IEEE, pp. 21-28, July, 1987			
	AW	Floch et al. "Supporting evolution and maintenance by using a flexible automatic code generator", ICSE ACM pp. 211-219, January, 1995			
	AX	Wu, et al. "StartOSphere: Mobil processing of distributed objects in Java", MOBICOM ACM pp. 121-132, 1998			
	AY	Keller, et al. "Design Components; toward software composition at the design level" IEEE pp. 302-311, July, 1987			

Examiner Signature	Date Considered
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